SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Tucker Garland S III				2. Issuer Name and Ticker or Trading Symbol <u>Triangle Capital CORP</u> [TCAP]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) 3700 GLENWOOD AVENUE				3. Date of Earliest Transaction (Month/Day/Year) 02/04/2014						Officer (give title Other (below) below) Chairman and CEO		(specify		
SUITE 530 (Street)				4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indiv X	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 				
RALEIGH	NC	27612												
(City)	(State)	(Zip)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	n Date, Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(1150.4)		
Common Stock 03/1			03/13/2013		G	V	1,700	D	\$0.00	221,317	D			
Common Stock			03/14/2013		G	V	8,000	D	\$0.00	213,317	D			

v

v

160

2,975

4,000

3,600

900

18,852

47,000

Expiration

Title

Date

D

D

D

D

Α

D

А

\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

\$26.35

\$26.09

Amount

Number

of Shares

213,157

210,182

206,182

202,582(1)

203,482(2)

184,630

231,771(3)

35,987(3)

D

D

D

D

D

D

П

Т

By wife

G

G V

G

w

w

F

Α

	(e.g., puts, calls, warrants, options, convertible securities)												
e Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Date

Exercisable

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Explanation of Responses:

Common Stock

Common Stock

Common Stock

Common Stock

Common Stock

Common Stock

Common Stock

Common Stock

1 Title of

Derivative Security (In

1. Reflects the distribution in connection with the liquidation of all of the shares of common stock held by the Garland S. Tucker, Jr. Grantor Trust (of which the reporting person is trustee).

(D)

2. Reflects the acquisition by the Trust FBO Garland S. Tucker, III (of which the reporting person is trustee) of the reporting person's interest in shares of common stock held by the Garland S. Tucker, Jr. Grantor Trust, in connection with the liquidation of such trust.

3. Includes shares of common stock received in 2013 pursuant to Triangle Capital Corporation's Dividend Reinvestment Plan.

05/13/2013

08/12/2013

12/12/2013

01/30/2014

01/30/2014

02/04/2014

02/05/2014

Code V (A)

Remarks:

Exhibit List: Exhibit 24.1 - Power of Attorney

/s/ Tara L. Dunn, Attorney-in-Fact for Garland S. Tucker, III

** Signature of Reporting Person

02/06/2014

Date

(Instr. 4)

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v). ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints each of John A. Good, Scott Lesmes, Tara L. Dunn, Steven C. Lilly and C. Robert Knox, Jr. signing singly, the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Triangle Capital Corporation (the "Company"), Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;

(2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact. This Power of Attorney supersedes and revokes, as of the date hereof, all powers providing authority similar to the above-referenced authority granted in this Power of Attorney.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 5th day of February, 2014.