SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person (Check all applicable) Triangle Capital CORP [TCAP] Knox C. Robert Jr. Director 10% Owner 3. Date of Earliest Transaction (Month/Day/Year) Officer (give title Other (specify Х below) below) 02/01/2017 (Last) (First) (Middle) Principal Accounting Officer 3700 GLENWOOD AVENUE 4. If Amendment, Date of Original Filed (Month/Dav/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) **SUITE 530** Form filed by One Reporting Person X Form filed by More than One Reporting Person (Street) 27612 RALEIGH NC (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 2. Transaction 5. Amount of 7. Nature of 1. Title of Security (Instr. 3) 2A. Deemed 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) Indirect Beneficial Date Execution Date Transaction Securities if any (Month/Day/Year) Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) (Month/Day/Year) Code (Instr 8) Ownership (Instr. 4) (A) or (D) Price Code v Amount Common Stock 03/16/2016 D D G v 310 \$0.00 66,113 **Common Stock** 05/09/2016 G v 200 D \$0.00 D 65,913 Common Stock 12/22/2016 v G 1,092 D \$0.00 64,821 D **Common Stock** 02/01/2017 Α 21,500 Α \$19.25 86,341(1) D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1 Title of 3 Transaction 3A. Deemed 5 Number of 6 Date Exercisable and 7 Title and Amount of 8. Price of 9. Number of 11. Nature 10 Derivative Security (Instr. 3) Date (Month/Day/Year Transaction Code (Instr. Expiration Date (Month/Day/Year) Securities Underlying Derivative Security Conversion Execution Date Derivative Derivative derivative Ownership of Indirect or Exercise Price of if any (Month/Dav/Year) Securities Security (Instr. 5) Securities Form: Beneficial Direct (D) 8) Acquired (A) (Instr. 3 and 4) Beneficially Ownership or Disposed of (D) (Instr. 3, 4 and 5) Derivative Owned (Instr. 4) or Indi Following (I) (Instr. 4) Security Reported Transaction(s) (Instr. 4) Amount Number Date Expiration Code v (A) (D) Exercisable Date Title of Shares

Explanation of Responses:

1. Includes 20 shares of common stock received in 2016 pursuant to Triangle Capital Corporation's Dividend Reinvestment Plan.

Remarks:

Harry S. Pangas, attorney-infact for C. Robert Knox, Jr.

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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