SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Form 3 Holdings Reported.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Form 4 Transac								1	of 1940								
1. Name and Address of Reporting Person [*] Goldstein Benjamin S					2. Issuer Name and Ticker or Trading Symbol <u>Triangle Capital CORP</u> [TCAP]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
	Jonganni			3 Statem	ent for l	ssuer's Fisc	al Year Ende	d (Month/F)av/Yea	r)	- ×	Director			10% (
(Last) (First) (Middle)			3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016								Officer (giv below)	/e title		Other below	(specify)		
3700 GLENWOOD AVENUE				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)						
SUITE 530												X Form filed by One Reporting Person					
												Form filed	by Mor	e than O	ne Report	ing Person	
(Street)																-	
RALEIGH	NC	27	612														
-																	
(City)	(State)	(Zip)														
		Tal	ole I - Non-Der	ivative S	ecurit	ies Acqu	ired, Disp	oosed of	f, or B	Benefici	ally Ov	vned					
1. Title of Security (Instr. 3) 2. Transaction Date						-											
1. Title of Security	(Instr. 3)		Date	2A. Deeme Execution		3. Transactio	n (Instr. 3, 4	es Acquireo and 5)	d (A) or I	Disposed		5. Amount of Securities		6. Owner Form: Di	rect In	Nature of direct	
1. Title of Security	(Instr. 3)				Date,		n (Instr. 3, 4	and 5)	d (A) or I A) or D)	Disposed (Price			er's		rect In direct B 4) O		
1. Title of Security	. ,		Date	Execution if any	Date,	Transactio Code (Instr	n (Instr. 3, 4	and 5)				Securities Beneficially Ov at end of Issue Fiscal Year (In	er's str. 3	Form: Di (D) or Inc	rect In direct B 4) O (I	direct eneficial wnership	
	. ,		Date	Execution if any (Month/Day	Date, //Year)	Transactio Code (Instr 8)	Amount	and 5) (,	A) or D)	Price		Securities Beneficially Ov at end of Issue Fiscal Year (In and 4) 52,408	er's str. 3	Form: Di (D) or Ind (I) (Instr.	rect In direct B 4) O (I	direct eneficial wnership	
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	. ,	3. Transaction Date (Month/Day/Year)	Date (Month/Day/Year) Table II - Derive (e.g., 3A. Deemed Execution Date, if any	Execution if any (Month/Day	Date, //Year) urities S, Wa 5. Num Deriva Securi Acquir Dispos	Transactio Code (Instr 8) s Acquir rrants, o	Amount ed, Dispo	and 5) ((() sed of, e onvertib	A) or D) or Ber le sec 7. Titt Secu	Price neficial curities) le and Am irities Under rative Secu	bunt of erlying	Securities Beneficially On at end of Issue Fiscal Year (In and 4) 52,408 ed 8. Price of	er's str. 3	Form: Di (D) or Ind (I) (Instr. D nber of tites icially d iring	rect In direct B 4) O (I	direct eneficial wmership nstr. 4)	

Explanation of Responses:

1. Includes 4,715 shares of common stock received in 2016 pursuant to Triangle Capital Corporation's Dividend Reinvestment Plan.

Remarks:

Harry S. Pangas, attorney-infact for Benjamin S. Goldstein

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.